

**ALBANIAN POWER EXCHANGE – ALPEX J.S.C**

**TECHNICAL DECISION No. 3-T**

**“Emergency trading procedures in ALPEX Markets”**

After having taken into consideration the provisions of chapter F.3 and section B.4.3 of the Electricity Market Rules - General Terms and paragraphs A.2.4.5 and A.2.4.6 of the Electricity Market Rules - Trading Procedures of Albanian Power Exchange J.S.C (hereinafter referred to as "ALPEX") as in force:

**ALPEX approved:**

1. Technical Decision - Emergency trading procedures in ALPEX Markets.
2. Technical Decision No. 3-T is submitted for notification to the Energy Regulatory Entity - ERE and to the Energy Regulatory Office - ERO.

This decision takes effect immediately.

**CEO OF ALPEX J.S.C**

**Sokol Dishnica**

## **Article 1**

### **Objective - Scope- Definitions**

- (a) The objective of this decision is to determine the mechanisms and alternative trading procedures in case of malfunction of the Energy Trading Spot System (ETSS) or other emergencies, in order to maintain the proper functioning of ALPEX Markets and protect the interests of the Exchange Members.
- (b) The definitions of this Technical Decision have the same meaning as those stipulated in the Electricity Market Rules - Glossary or Electricity Market Rules - General Terms and Procedures and in the relevant legislation regarding the electricity markets.

## **Article 2**

### **Actions in case of Exceptions to normal trading, Clearing and Settlement or other emergencies.**

- (a) In case of network malfunctions, ETSS system malfunctions, communication malfunctions of ETSS with EMCS, or malfunctions in the peripheral systems, ALPEX will ensure that:
  - (i) the malfunction has been detected and the management system for this problem will be activated
  - (ii) remedial actions have been taken; and
  - (iii) the malfunction resolution actions are recorded, informing its Exchange Members by any appropriate means of the problem.
- (b) In case of problems shown in the above paragraph (a), the measures described in subsection F.3.1.2 of the Electricity Market Rules - General Terms will be taken.

## **Article 3**

### **Exchange Members trading support procedure.**

- (a) In case of technical malfunctions of Exchange Members systems or other emergencies, ALPEX provides Exchange Members access to ETSS through “Trading on Behalf” service as described in section G.3 of the Electricity Market Rules - Trading Procedures.
- (b) In this case, Certified Traders, who are already registered in the Database of Exchange Members, can apply through a recorded telephone line or through the Nominated Electronic Medium (as will be provided by ALPEX) for the assistance of ALPEX, for submission a new Order to ETSS, for modifying or canceling a submitted Order, completing all mandatory fields of the Orders with the help of ALPEX and in any case twenty (20) minutes before the relevant Gate Closing Time. ALPEX will also request

confirmation of the Order, through an appropriate form for the registration of the mandatory fields of the Order Types, provided by ALPEX. The Exchange Member cannot claim that the Orders are submitted to ETSS, if it does not receive a written confirmation from ALPEX. Furthermore, ALPEX will not guarantee the availability of an adequate number of telephone lines. The Trading on Behalf service can be used only for D-1 (business-hours), in respect to Delivery Day D.

- (c) ALPEX bears no responsibility for late submission of Orders when using the Trading on Behalf service. If there is a high number of Order submitting requests, ALPEX will submit the Orders based on the principle of time priority, unless there are grounds for maintaining the proper operation of the market or preventing risks on the clearing of transactions justifying a deviation from the aforementioned principle. In any case, the completeness of the request is a prerequisite for the provision of the service.

#### **Article 4**

#### **Technical details of recording phone conversations or saving data exchange for Trading on Behalf service.**

- (a) ALPEX shall record phone conversations or save data exchanges in the abovementioned means, related to the submission of a new Order to ETSS, the modification or cancellation of a submitted Order, taking all necessary security measures.
- (b) Prior to Trading on Behalf service, ALPEX shall verify the identity of the caller.
- (c) The telephone numbers for communication with ALPEX are defined and announced at ALPEX website: [www.alpex.al](http://www.alpex.al)
- (d) It is not allowed to perform the Trading on Behalf service from different telephone numbers of the Certified Traders, except from those provided in the Exchange Membership Agreement.

#### **Article 5**

#### **Enforcement**

This Technical Decision will enter into force on 07.09.2023.

This Technical Decision will be posted on ALPEX's official website [www.alpex.al](http://www.alpex.al)